

PATENT  
450101-03147

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

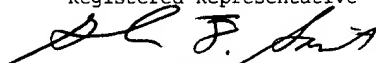
Applicants : Tomoyuki ASANO et al.  
Int'l Application : PCT/JP01/02928  
Int'l Filing Date : 4 April 2001  
Serial No. : 09/980,390  
For : INFORMATION RECORDING/PLAYBACK APPARATUS  
AND METHOD

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on March 4, 2002

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or  
Registered Representative



Signature

March 4, 2002

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notification of  
Missing Requirements" (form PCT/DO/EO/905) dated January 23, 2002  
on the above application, a Declaration duly signed by the  
inventors, and a check in the amount of the required \$130.00

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130.00 OP


surcharge. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By:   
Glenn F. Savit  
Reg. No. 37,437  
Tel. (212) 588-0800

Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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09/980,390

FROMMER, LAWRENCE  
& HAUG, LLP  
Tomoyuki Asano

450101-03147

INTERNATIONAL APPLICATION NO.

PCT/JP01/02928

I.A. FILING DATE

PRIORITY DATE

04/04/2001

04/04/2000

William S Frommer  
Frommer Lawrence & Haug  
745 Fifth Avenue  
New York, NY 10151

CONFIRMATION NO. 1041

371 FORMALITIES LETTER



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Date Mailed: 01/23/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Request for Immediate Examination

DOCKETED

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

Telephone: (703) 305-3654

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,390	PCT/JP01/02928	450101-03147